



GLOBALG.A.P. Chain of Custody through QS Wholesale Fruit, Vegetables, Potatoes

General Regulations Specifications

ENGLISH VERSION 1.0_MAR23

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1 INTRODUCTION

This document contains the general regulations specifications exclusively applicable for GLOBALG.A.P. Chain of Custody through QS Wholesale Fruit, Vegetables, Potatoes (CoC through QS). The basis for this document is the latest GLOBALG.A.P. Chain of Custody (CoC) general regulations, which should be consulted while reading these general regulations specifications.

The CoC general regulations in their current version apply unless otherwise specified in the combined CB audit rules below.

2 GENERAL INFORMATION FOR THIS ADD-ON

Name and version	GLOBALG.A.P. Chain of Custody through QS Wholesale Fruit, Vegetables, Potatoes
Common name (if applicable)	CoC through QS
Scope:	Plants
Scheme ID:	326
Application in country/countries:	All
List of normative documents:	<ul style="list-style-type: none"> • CoC through QS checklist • GLOBALG.A.P. Chain of Custody general regulations • GLOBALG.A.P. general regulations • GLOBALG.A.P. data access rules GLOBALG.A.P. fee table

3 ROLES RELATED TO COC THROUGH QS

Certified company: A person (individual) or business who is legally responsible for the processing, packing, trading, transport, or sales of products originating from IFA/QS GAP certified production processes relevant to the scope of certification, and the subcontractors of these companies.

Certification body: An independent business or entity that has GLOBALG.A.P. approval to conduct GLOBALG.A.P. audits and issue GLOBALG.A.P. certificates for CoC through QS to companies who have successfully implemented CoC through QS. The list of approved CBs can be found on the GLOBALG.A.P. website.

4 GLOBALG.A.P. CHAIN OF CUSTODY GENERAL REGULATIONS SPECIFICATIONS

<p>Section</p> <p>(Numbering of sections 2 to 5 is based on GLOBALG.A.P. Chain of Custody general regulations)</p>	<p>GLOBALG.A.P. Chain of Custody general regulations specifications for CoC through QS</p>
<p>2 DOCUMENTS</p>	
<p>2.1 Normative documents</p>	
<p>GLOBALG.A.P. Chain of Custody general regulations and checklist</p>	<p>QS-certified wholesalers and agencies for fruit, vegetables, and/or potatoes can obtain CoC certification through a combined CB audit. For this, compliance with the requirements of the CoC standard shall be audited as part of the QS audits (regular audits + spot audits) against the requirements of the QS Guideline Wholesale Fruit, Vegetables, Potatoes.</p> <p>In addition to the QS audit checklist Wholesale/Agencies Fruit, Vegetables, Potatoes, the CoC through QS checklist shall be completed. This checklist shall also be used for the self-assessment.</p>
<p>4 REGISTRATION PROCESS</p>	
<p>4.1 Certification bodies</p>	
<p>Applicant registration</p>	<p>The company shall choose a CB that is approved for both QS Wholesale Fruit, Vegetables, Potatoes and CoC through QS.</p> <p>The CB shall, before the audit, be notified of the company's intention to have the combined audit. This ensures that sufficient additional time can be scheduled and that a CB auditor with the appropriate qualifications can be selected.</p>
<p>5 AUDIT PROCESS FOR OPTION 1 – SINGLE SITE AND MULTISITE PRODUCERS</p>	
<p>5.2 CB audits</p>	
<p>Announced and unannounced CB audits</p>	<p>The CB audit (announced or unannounced) shall be carried out by a CB auditor with both QS and CoC qualifications (see CoC general regulations, Annex III.2, "GLOBALG.A.P. CB inspector qualifications").</p>
<p>Checklist</p>	<p>Compliance with the requirements of the CoC standard shall be assessed as part of the audit against the requirements of the QS Guideline Wholesale Fruit, Vegetables, Potatoes, and the guideline shall be integrated into the CB audit as appropriate. In addition to the QS audit checklist Wholesale/Agencies Fruit, Vegetables, Potatoes, the CoC through QS checklist shall be completed.</p> <p>A mass balance traceability check shall be carried out using products originating from GLOBALG.A.P./QS-GAP certified production processes.</p>

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<p>Section (Numbering of sections 2 to 5 is based on GLOBALG.A.P. Chain of Custody general regulations)</p>	<p>GLOBALG.A.P. Chain of Custody general regulations specifications for CoC through QS</p>
<p>5.3 Audit timing</p>	
<p>CB audit duration</p>	<p>Not specified. The amount of additional time will depend upon the mix of products originating from GLOBALG.A.P. certified production processes and the complexity and size of the production processes.</p>
<p>CERTIFICATION INTEGRITY PROGRAM</p>	
<p>The Certification Integrity Program (CIPRO) can be included together with the QS integrity program.</p>	
<p>LOGO USE</p>	
<p>The use of the GGN label logo is not included in CoC through QS.</p>	
<p>CB REQUIREMENTS</p>	
<p>Only CBs <i>finally approved</i> for CoC through QS are allowed to conduct combined audits. GLOBALG.A.P. auditor approval is based on QS wholesale approval. QS-approved auditors will be qualified for the CoC standard but shall pass a GLOBALG.A.P. online exam for the CoC standard v6.1.</p> <p>The CB shall have a CoC in-house trainer.</p> <p>Those QS-accredited CBs carrying out only audits against CoC through QS do not need additional accreditation for the CoC standard. Therefore, the GLOBALG.A.P. CoC certificate shall be issued only without accreditation mark, as long as the CB has no separate accreditation for the CoC standard.</p> <p>Those CBs issuing GLOBALG.A.P. CoC certificates that are not based on a combined audit, need ISO/IEC 17065 accreditation for the CoC standard v6.</p>	
<p>ADDITIONAL RULES</p>	
<p>CB audits against CoC through QS are conducted in addition to the QS Guideline Wholesale Fruit, Vegetables, Potatoes. Where QS certification is not achieved, CoC through QS certification cannot be awarded.</p> <p>For CB audits against CoC through QS, the GLOBALG.A.P. general regulations apply (incl. 28 days period for closing non-conformances).</p> <p>The results of the CB audit against CoC through QS shall be registered in the GLOBALG.A.P. IT systems (upload of the CoC through QS checklist).</p> <p>The CB audit frequency will follow the frequency defined by QS: The CoC through QS checklist shall be completed annually in the regular QS audits/QS spot audits. The spot audits shall be conducted no less than two months after a regular CB audit and no less than two months before the next regular CB audit.</p>	

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<p>For QS agencies: As part of the regular CB audits, the CoC through QS checklist is completed in addition to the QS audit checklist Wholesale/Agencies Fruit, Vegetables, Potatoes (same procedure as for wholesalers with goods contact). In between regular CB audits, when no additional QS spot audit takes place for agencies (with status I, that are audited every two years), the five CoC-specific requirements of the CoC through QS checklist can be verified in a remote CB audit as an alternative to an on-site CB audit.</p> <p>It is possible that the CB will conduct a full and independent CoC audit. In this case a GLOBALG.A.P. CoC certificate with accreditation mark can be issued, provided that the CB has GLOBALG.A.P. approval and accreditation for the CoC standard.</p> <p>Interim solution: QS-certified wholesalers/agencies can receive early approval for the CoC standard until the time of the next QS audit, when the CoC through QS checklist can be completed as well. For this purpose, the following steps are required:</p> <ol style="list-style-type: none"> 1. The wholesaler/agency conducts a self-assessment against the requirements of the CoC through QS checklist. 2. The wholesaler/agency shall register in the GLOBALG.A.P. IT systems. 3. The wholesaler/agency shall register in the QS database that they want to be audited against CoC through QS. 4. The CoC through QS checklist is verified in a CB remote audit as an alternative to an on-site CB audit by the responsible CB. 5. Based on the valid QS certificate and the passed audit against CoC through QS, the CB registers the CoC through QS certification in the GLOBALG.A.P. IT systems. <p>During the next QS audit (regular or spot audit), the CoC through QS checklist shall be completed by the CB auditor. From that moment on, the CoC certification is based on the combined CB audit (passed QS audit + passed audit against CoC through QS checklist).</p>	

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