

Audit checklist Feed Sector (regular audit)

Audit details				
Scheme participant				
QS locations audited				
Additional location information, e.g. coordinator or identification number				
Name of contact				
Regular audit	Initial audit		Follow-up audit	
Unannounced regular audit	Yes		No	
Parallel audit				
Date of audit (from)			Date of audit (until)	
Start of audit (hh:mm)			End of audit (hh:mm)	
Audit duration (hh:mm)				
Combined audit (norm/standard/programme)				
Certification body				
First name/surname of auditor				
Repeated D evaluation/general K.O.		Remark repeated D evaluation/general K.O.		
Comments				
Preliminary audit result			Number of agreed corrective actions	

Place, date

Signature/s of auditor/s

I hereby confirm the data concerning the company and the audit.

I have received a copy of the audit report (at least front page) and of the corrective actions report.

Place, date

Signature of person responsible

Company details - Feed sector

Name of company		
Street and house number		
Postal code and town		
Telephone/fax number		
Email address		
QS location number		
QS identification number		
Name of person responsible		
Recognition in third-party system		

Scope - Feed sector

Production scope	Production number
Premix production	70

Additional information - Feed sector

Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ FSA		Fami-QS		UFAS/ FEMAS
	FSSC 22000						
Certificate valid until							

Information on premix production

Premix production QS products (in tonnes):	
---	--

Precise name of premix:

--

Company details - Feed sector

Name of company		
Street and house number		
Postal code and town		
Telephone/fax number		
Email address		
QS location number		
QS identification number		
Name of person responsible		
Recognition in third-party system		

Scope - Feed sector

Production scope		Production number
	Compound feed production	71

Additional information - Feed sector

Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ FSA		FSSC 22000		UFAS/ FEMAS
	Pastus+		FAMI-QS		GMP-Pharma		RCNA
Certificate valid until							

Information on compound feed production

Compound feed QS production (in tonnes):	
---	--

Company details - Feed sector

Name of company		
Street and house number		
Postal code and town		
Telephone/fax number		
Email address		
QS location number		
QS identification number		
Name of person responsible		
Recognition in third-party system		

Scope - Feed sector

Production scope		Production number
	Feed material production	72

Additional information - Feed sector

Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ FSA		GMP-Pharma		UFAS/ FEMAS
	Pastus+		FSSC 22000		EFISC-GTP		FAMI-QS
	RCNA						
Certificate valid until							

Information on feed material production

Feed material QS production (in tonnes) :	
--	--

Company details - Feed sector

Name of company		
Street and house number		
Postal code and town		
Telephone/fax number		
Email address		
QS location number		
QS identification number		
Name of person responsible		
Recognition in third-party system		

Scope - Feed sector

Production scope	Production number
Private labelling	74

Additional information - Feed sector

Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ FSA		GMP_Pharma		UFAS/ FEMAS
	Pastus+		FSSC 22000		RCNA		
Certificate valid until							

Information on private labelling

Private labelling	
	Contract producer*
* Please specify all locations (including locations numbers) visited within the context of contract producing below:	
Name of the contract producer	

Tonnage private labelling of QS feed:		
Traded feed	Please check if applicable.	
Feed additives		
Premixes		
Compound feed		
Feed materials		

Company details - Feed sector

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system	

Scope - Feed sector

Production scope	Production number
Feed trade	76

Additional information - Feed sector

Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ FSA		UFAS/FEMAS		Pastus+
	FSSC 22000		FAMI-QS		EFISC-GTP		GMP-Pharma
	RCNA						
Certificate valid until							

Information trade

Trade with QS feed (in tonnes):		
traded feed	Please check if applicable.	
Feed additives	<input type="checkbox"/>	
Premixes	<input type="checkbox"/>	
Compound feed	<input type="checkbox"/>	
Feed materials	<input type="checkbox"/>	
Trade incl. storage	<input type="checkbox"/>	
Bulk goods	<input type="checkbox"/>	
Packed goods	<input type="checkbox"/>	
Delivery trade	<input type="checkbox"/>	
Bulk goods	<input type="checkbox"/>	
Packed goods	<input type="checkbox"/>	

Company details - Feed sector

Name of company		
Street and house number		
Postal code and town		
Telephone/fax number		
Email address		
QS location number		
QS identification number		
Name of person responsible		
Recognition in third-party system		

Scope - Feed sector

Production scope	Production number
Storage and transshipment (feed)	77

Additional information - Feed sector

Information to the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ FSA				UFAS/ FEMAS
	Pastus+		FSSC 22000		RCNA		
Certificate valid until							

Information on storage and transshipment

Storage and transshipment	
	Storage and transshipment of QS feed as a service
	External storage facilities

Company details - Feed sector

Name of company		
Street and house number		
Postal code and town		
Telephone/fax number		
Email address		
QS location number		
QS identification number		
Name of person responsible		
Recognition in third-party system		

Scope - Feed sector

Production scope	Production number
Road transport (feed)	78

Additional information - Feed sector

Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ SA		FSSC 22000		UFAS/ FEMAS
	Pastus+		Qualimat Transport		RCNA		
Certificate valid until							

Information on road transport

Transport (service)	
	Road transport

Company details - Feed sector

Name of company	
Street and house number	
Postal code and town	
Telephone/fax number	
Email address	
QS location number	
QS identification number	
Name of person responsible	
Recognition in third-party system	

Scope -Feed sector

Production scope	Production number
Rail, inland waterway and ocean ship transport (feed)	79

Additional information - Feed sector

Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:2018 ff		IFS		BRC
	FCA		GMP+ FSA		FSSC 22000		UFAS/ FEMAS
	RCNA						
Certificate valid until							

Information on the chatering of rail, inland waterway and ocean ship transport

Transport (service)	
	Chatering of rail transport
	Chatering of inland waterway transport
	Chatering of ocean ship transport

Company details - Feed sector

Name of company			
Street and house number			
Postal code and town			
Telephone/fax number			
Email address			
QS location number			
QS identification number			
Name of person responsible			
Recognition in third-party system			

Scope - Feed sector

Production scope	production number
Additive production	700

Additional information - Feed sector

Information on the quality management system

A valid certificate from an accredited certification body for a QS-recognised QM-System exists.							
	ISO 9001:2015ff		ISO 22000:20018ff		IFS		BRC
	FCA		GMP+ FSA		Fami-QS		UFAS/ FEMAS
	FSSC 22000		GMP-Pharma		RCNA		
Certificate valid until							

Information on additive production

Additive production QS products (in tonnes):	
---	--

Precise name of the feed additives:

--

Company _____

Date _____

Require ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
<p>* = For this requirement the evidence or measurement tool used for evaluation of compliance with the QS requirement must be documented, regardless of the outcome of the assessment. # = In case of a nonconformity the corrective action for this criterion has to take place within 28 days (only valid for production and QS-GAP and FIAS!) .</p>										
2 General requirements										
2.1 General system requirements										
2.1.1	1	Fp,T,S ,R,RW O		Company data						
2.1.2	1	Fp,T,S ,R,RW O	D=K.O.	Official registration and authorisation						
2.1.3	1	Fp,T,S ,R,RW O	D=K.O.	Incident and crisis management						
2.1.4	1	Fp,T,S ,R,RW O	D=K.O.	Traceability						
2.2 Separate QM certification										
2.2.1	1	Fp,T,S ,R,RW O		Recognition of a QM certification						
2.3 Structure of a Quality management system										
2.3.1	1	Fp,T,S ,R,RW O		Determination of scope						
2.3.2	1	Fp,T,S ,R,RW O		Justification for exclusions						
2.4 Requirements for the documentation and communication										
2.4.1	1	Fp,T,S ,R,RW O	D=K.O.	Documentation						

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
2.4.2	1	Fp,T,S ,R,RW O		Control of documents						
2.4.3	1	Fp,T,S ,R,RW O		Safe-keeping						
2.4.4	1	Fp,T,S ,R,RW O		Communication						
2.5 Leadership and planning										
2.5.1	1	Fp,T,S ,R,RW O		Leadership and commitment of top management						
2.5.2	1	Fp,T,S ,R,RW O		Quality policy						
2.5.3	1	Fp,T,S ,R,RW O		Quality objectives and planning to achieve them						
2.5.4	1	Fp,T,S ,R,RW O		Roles and responsibilities						
2.5.5	1	Fp,T,S ,R,RW O		Planning modifications						
2.6 Resource management										
2.6.1	1	Fp,T,S ,R,RW O		Provision of resources						
2.6.2	1	Fp,T,S ,R,RW O		Human resources						
2.6.3	1	Fp,T,S ,R,RW O		Infrastructure						
2.7 Product realisation and services										
2.7.1	1	Fp,T,S ,R,RW O		Definition and review of requirements for products and services						
2.7.2	1	Fp,T,S ,R,RW O		Process planning and presentation						

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
2.7.3	1	Fp,T,S ,R,RW O		Product realisation and provision of services						
2.7.4	1	Fp,T,S ,R,RW O		Communication with customers						
2.7.5	1	Fp,T,S ,R,RW O		Development						
2.8 Monitoring, measurement and testing										
2.8.1	1	Fp,T,S ,R,RW O		Control of processes						
2.8.2	1	Fp,T,S ,R,RW O		Control of products						
2.9 Evaluation and improvement										
2.9.1	1	Fp,T,S ,R,RW O	D=K.O.	Supplier evaluation						
2.9.2	1	Fp,T,S ,R,RW O		Internal audits						
2.9.3	1	Fp,T,S ,R,RW O	D=K.O.	Management review						
2.9.4	1	Fp,T,S ,R,RW O		Customer complaints						
2.9.5	1	Fp,T,S ,R,RW O	D=K.O.	Control of defective products and services *						
2.9.6	1	Fp,T,S ,R,RW O		Improvement						
2.10 HACCP concept										
2.10.1	1	Fp,T,S ,R,RW O	D=K.O.	HACCP concept						
2.10.2	1	Fp,T,S ,R,RW O		HACCP Team						

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
2.10.3	1	Fp,T,S ,R,RW O		Flow charts						
2.10.4	1	Fp,T,S ,R,RW O		Hazard assessment						
2.10.5	1	Fp,T,S ,R,RW O		Critical control points (CCP)						
2.10.6	1	Fp,T,S ,R,RW O		Limit values for CCP						
2.10.7	1	Fp,T,S ,R,RW O		Monitoring and verification of the limit values for CCP						
2.10.8	1	Fp,T,S ,R,RW O		Corrective actions for CCP						
2.10.9	1	Fp,T,S ,R,RW O		Responsibilities						
2.10.10	1	Fp,T,S ,R,RW O		Documentations						
2.10.11	1	Fp,T,S ,R,RW O		HACCP verification						
3 Requirements for feed production										
3.1 Product specifications for feed										
3.1.1	1	Fp	D=K.O.	Description of the feed produced *						
3.1.2	1	Fp		Information for customers						
3.1.3	1	Fp	D=K.O.	Production of feed materials: QS-list of feed materials						
3.2 Supply specifications										
3.2.1	1	Fp		Description of raw materials						

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.3 Management of raw materials										
3.3.1	1	Fp		Supply of unprocessed primary agricultural products						
3.3.2	1	Fp		Supply of feed materials: Allowed lists						
3.3.3	1	Fp		Supply of products from vegetable oils and fats						
3.3.4	1	Fp		Supply and production of feed additives						
3.3.5	1	Fp	D=K.O.	Exclusion list						
3.3.6	1	Fp		Receiving raw materials						
3.4 Certification status of the suppliers										
3.4.1	1	Fp	D=K.O.	Eligibility of delivery of the suppliers *						
3.4.2	1	Fp	D=K.O.	Supply of non-certified suppliers: Gate-Keeper-Regulation						
3.5 Additional requirements for the supply of (former) foodstuffs from food manufacturers										
3.5.1	1	Fp		Quality questionnaire						
3.5.2	1	Fp		Process diagram						
3.5.3	1	Fp		Supplier audits						
3.5.4	1	Fp		Labelling and cleaning of collection containers						
3.5.5	1	Fp		Transport of raw materials						

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.5.6	1	Fp		Direct delivery to the customer						
3.5.7	1	Fp		Evaluation of the supplier audits, quality questionnaire and process						
3.6 Operating equipment										
3.6.1	1	Fp		Layout of the operating facility						
3.6.2	1	Fp	D=K.O.	Guarantee of separation of products						
3.6.3	1	Fp	D=K.O.	Forbiddance of parallel production concerning special fats and oils						
3.7 Maintenance and servicing										
3.7.1	1	Fp		Maintenance programmes						
3.8 Cleaning										
3.8.1	1	Fp		Cleaning plans						
3.8.2	1	Fp	D=K.O.	Cleaning and disinfection agents						
3.8.3	1	Fp	D=K.O.	Cleaning work						
3.9 Pest monitoring										
3.9.1	1	Fp	D=K.O.	Pest prevention and monitoring						
3.9.2	1	Fp	D=K.O.	Pest control *						
3.9.3	1	Fp	D=K.O.	Stock protection *						

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.10 Staff hygiene										
3.10.1	1	Fp		Hygiene training *						
3.11 Regulations for company premises										
3.11.1	1	Fp		Access to company premises						
3.11.2	1	Fp		Regulations on food, drinks and smoking *						
3.11.3	1	Fp		Work by external companies *						
3.12 Glass and other fragile materials										
3.12.1	1	Fp	D=K.O.	Use of glass and other fragile materials						
3.13 Waste management										
3.13.1	1	Fp	D=K.O.	Waste management and disposal						
3.14 Use of water										
3.14.1	1	Fp	D=K.O.	Water quality						
3.14.2	1	Fp		Water additives						
3.15 Carryover and cross-contamination										
3.15.1	1	Fp	D=K.O.	Determination of possible carryovers						
3.15.2	1	Fp	D=K.O.	Cross-contamination						

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.16 Contamination										
3.16.1	1	Fp	D=K.O.	Prevention of contamination						
3.17 Technological additives (processing agents)										
3.17.1	1	Fp		Use of technological additives						
3.18 Storage										
3.18.1	1	Fp	D=K.O.	Storage management						
3.18.2	1	Fp		Quality maintenance						
3.18.3	1	Fp		Use of external storage facilities						
3.19 Packaging										
3.19.1	1	Fp		Packaging						
3.20 Road transport										
3.20.1	1	Fp		Transport of packed products						
3.20.2	1	Fp		Transport of bulk products						
3.21 Commissioning of transport by rail or ship										
3.21.1	1	Fp		Commissioning a charterer						
3.21.2	1	Fp		Transport of part cargoes						

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.22 Drying, ventilation and cooling										
3.22.1	1	Fp	D=K.O.	Drying and preservation processes						
3.22.2	1	Fp		Controlling the moisture content and temperature						
3.23 Separation of foreign materials										
3.23.1	1	Fp		Procedures for separating foreign materials						
3.24 Declaration of feed and labelling as feed from the QS scheme										
3.24.1	1	Fp	D=K.O.	Article-related labelling as feed from the QS scheme *						
3.24.2	1	Fp		Use of QS certification mark						
3.25 Recording of location numbers (VVVO numbers)										
3.25.1	1	Fp		Assigning of compound feed deliveries (bulk products) to the location						
3.26 Feed monitoring										
3.26.1	1	Fp	D=K.O.	Creation of site-specific QS control plans *						
3.26.2	1	Fp	D=K.O.	Compliance with QS control plans						
3.26.3	1	Fp		Sampling and sample shipping						
3.26.4	1	Fp		Analysis by QS-recognised laboratories						
3.26.5	1	Fp		Feed monitoring: Data entry						

Require ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
3.26.6	1	Fp		Gate-Keeping: Data entry						
3.26.7	1	Fp	D=K.O.	Positive release sampling: Implementation						
3.26.8	1	Fp		Positive release sampling: Data entry						
3.26.9	1	Fp	D=K.O.	Additional control plans: Implementation						
3.26.10	1	Fp		Additional control plans: Data entry						
3.26.11	1	Fp	D=K.O.	Ad-hoc monitoring plans: Implementation						
3.26.12	1	Fp		Ad-hoc monitoring plans: Data entry						
3.27 Retained samples										
3.27.1	1	Fp	D=K.O.	Accumulation of retained samples						
3.28 Requirements for Private Labeller										
3.28.1	1	Fp	D=K.O.	Private Labeller: Description of the responsibilities *						
3.28.2	1	Fp	D=K.O.	Private Labeller: Eligibility of delivery of the contract manufacturer *						
4. Requirements for the trade of feed										
4.1 Supply specifications										
4.1.1	1	T		Description of the feed *						
4.2 Supply of feed										

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.2.1	1	T		Supply of unprocessed primary agricultural products						
4.2.2	1	T		Supply of feed materials: Allowed lists						
4.2.3	1	T		Supply of products from vegetable oils and fats						
4.2.4	1	T	D=K.O.	Exclusion list						
4.2.5	1	T		Receiving feed						
4.3 Certification status of the suppliers										
4.3.1	1	T	D=K.O.	Eligibility of delivery of the suppliers *						
4.3.2	1	T	D=K.O.	Supply of non-certified suppliers: Gate-Keeper-Regulation						
4.4 Storage										
4.4.1	1	T	D=K.O.	Storage facility environment						
4.4.2	1	T	D=K.O.	Storage management						
4.4.3	1	T	D=K.O.	Guarantee of separation of products						
4.4.4	1	T		Use of external storage facilities						
4.5 Maintenance and servicing										
4.5.1	1	T		Maintenance programmes						
4.6 Cleaning										

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.6.1	1	T		Cleaning plans						
4.6.2	1	T	D=K.O.	Cleaning and disinfection agents						
4.6.3	1	T	D=K.O.	Cleaning work						
4.7 Pest monitoring										
4.7.1	1	T	D=K.O.	Pest prevention and monitoring						
4.7.2	1	T	D=K.O.	Pest control *						
4.7.3	1	T	D=K.O.	Stock protection *						
4.8 Staff hygiene										
4.8.1	1	T		Hygiene training *						
4.9 Regulations for company premises										
4.9.1	1	T		Access to company premises						
4.9.2	1	T		Regulations on food, drinks and smoking *						
4.9.3	1	T		Work by external companies *						
4.10 Glass and other fragile materials										
4.10.1	1	T	D=K.O.	Use of glass and other fragile materials						
4.11 Waste										

Require ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.11.1	1	T	D=K.O.	Waste management and disposal						
4.12 Use of water										
4.12.1	1	T	D=K.O.	Water quality						
4.12.2	1	T		Water additives						
4.13 Contamination										
4.13.1	1	T	D=K.O.	Prevention of contamination						
4.14 Stock controls										
4.14.1	1	T		Quality maintenance						
4.15 Packaging										
4.15.1	1	T		Packaging						
4.16 Road transport										
4.16.1	1	T		Transport of packed products						
4.16.2	1	T		Transport of bulk products						
4.17 Commissioning of transport by rail or ship										
4.17.1	1	T		Commissioning a charterer						
4.17.2	1	T		Transport of part cargoes						

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.18 Drying, ventilation and cooling										
4.18.1	1	T		D=K.O. Drying and preservation processes						
4.18.2	1	T		Controlling the moisture content and temperature						
4.19 Separation of foreign materials										
4.19.1	1	T		Procedures for separating foreign materials						
4.20 Declaration of feed and labelling as feed from the QS scheme										
4.20.1	1	T		D=K.O. Article-related labelling as feed from the QS scheme *						
4.20.2	1	T		Use of QS certification mark						
4.21 Recording of location numbers (VVVO numbers)										
4.21.1	1	T		Assigning of compound feed deliveries (bulk products) to the location						
4.22 Feed Monitoring										
4.22.1	1	T		D=K.O. Creation of site-specific QS control plans *						
4.22.2	1	T		D=K.O. Compliance with QS control plans						
4.22.3	1	T		Sampling and sample shipping						
4.22.4	1	T		Analysis by QS-recognised laboratories						
4.22.5	1	T		Feed monitoring: Data entry						

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
4.22.6	1	T		Gate Keeping: Data entry						
4.22.7	1	T	D=K.O.	Positive release sampling: Implementation						
4.22.8	1	T		Positive release sampling: Data entry						
4.22.9	1	T	D=K.O.	Additional control plans: Implementation						
4.22.10	1	T		Additional control plans: Data entry						
4.22.11	1	T	D=K.O.	Ad-hoc monitoring plans: Implementation						
4.22.12	1	T		Ad-hoc monitoring plans: Data entry						
4.23 Retained samples										
4.23.1	1	T	D=K.O.	Accumulation of retained samples						
5 Requirements for the transport of feed by road										
5.1 Process descriptions										
5.1.1	1	R	D=K.O.	Determination of processes						
5.1.2	1	R	D=K.O.	Subcontracting						
5.2 Labelling and traceability (documentation of transports)										
5.2.1	1	R		Labelling and use of load compartments						
5.2.2	1	R	D=K.O.	Documentation of journeys *						

Require ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
5.3 Investigation of cleaning measures										
5.3.1	1	R	D=K.O.	Investigation of the necessary cleaning measures						
5.3.2	1	R		Release procedure after the transport of forbidden freights						
5.4 Cleaning										
5.4.1	1	R		Compilation of instructions						
5.4.2	1	R	D=K.O.	Cleaning work						
5.4.3	1	R		Cleaning and disinfectants agents and water quality						
5.4.4	1	R		Documentation of cleaning measures						
5.4.5	1	R		Effectiveness assessment						
5.5 Transport operations										
5.5.1	1	R		Inspections prior to loading						
5.5.2	1	R	D=K.O.	Quality maintenance of feed						
5.6 Contamination										
5.6.1	1	R	D=K.O.	Measures in the case of contamination						
5.7 Vehicles with multiple load compartments										
5.7.1	1	R	D=K.O.	Requirements for vehicles with multiple load compartments						

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
5.8 Additional requirements for combination vehicles										
5.8.1	1	R	D=K.O.	Technical requirements for combination vehicles						
5.8.2	1	R	D=K.O.	Inspections of combination vehicles						
5.8.3	1	R		Cleaning of combination vehicles						
5.8.4	1	R		Protective clothing on combination vehicles						
5.8.5	1	R	D=K.O.	Additional requirements for combination vehicles with a flexible separation						
5.9 Documentations										
5.9.1	1	R		Handling documentations						
5.10 Purchase or leasing of used freight holds										
5.10.1	1	R		Purchase or leasing of used freight holds						
6 Requirements for the charterer when transporting feed by railway, inland waterways or ocean ships										
6.1 Process descriptions										
6.1.1	1	RWO	D=K.O.	Determination of processes						
6.2 Documentations										
6.2.1	1	RWO		Chartering documents						
6.3 Load Compartment Inspections (LCI)										

Require ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
6.3.1	1	RWO	D=K.O.	Commissioning a load compartment inspection *						
6.3.2	1	RWO		LCI reports *						
6.4 Requirements for transport on inland waterways										
6.4.1	1	RWO	D=K.O.	Inland waterway transport: Commissioning a transporter						
7 Requirements for the storage and transhipment of feed										
7.1 Process descriptions										
7.1.1	1	S	D=K.O.	Storage processes						
7.2 Storage										
7.2.1	1	S	D=K.O.	Storage facility environment						
7.2.2	1	S	D=K.O.	Storage management						
7.2.3	1	S	D=K.O.	Guarantee of separation of products						
7.3 Maintenance and servicing										
7.3.1	1	S		Maintenance programmes						
7.4 Cleaning										
7.4.1	1	S		Cleaning plans						
7.4.2	1	S	D=K.O.	Cleaning and disinfection agents						

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
7.4.3	1	S	D=K.O.	Cleaning work						
7.5 Pest monitoring										
7.5.1	1	S	D=K.O.	Pest prevention and monitoring						
7.5.2	1	S	D=K.O.	Pest control *						
7.5.3	1	S	D=K.O.	Stock protection *						
7.6 Staff hygiene										
7.6.1	1	S		Hygiene training *						
7.7 Regulations for company premises										
7.7.1	1	S		Access to company premises						
7.7.2	1	S		Regulations on food, drinks and smoking *						
7.7.3	1	S		Work by external companies *						
7.8 Glass and other fragile materials										
7.8.1	1	S	D=K.O.	Use of glass and other fragile materials						
7.9 Waste										
7.9.1	1	S	D=K.O.	Waste management and disposal						
7.10 Use of water										

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
7.10.1	1	S	D=K.O.	Water quality						
7.10.2	1	S		Water additives						
7.11 Contamination										
7.11.1	1	S	D=K.O.	Prevention of contamination						
7.12 Receiving of products and stock controls										
7.12.1	1	S		Receiving of products						
7.12.2	1	S		Stock controls						
7.13 Drying, ventilation and cooling										
7.13.1	1	S	D=K.O.	Drying and preservation processes						
7.13.2	1	S		Controlling the moisture content and temperature*						
7.14 Separation of foreign materials										
7.14.1	1	S		Procedures for separating foreign materials						
7.15 Packaging										
7.15.1	1	S		Packaging						
I. VLOG add on module "Ohne Gentechnik"										
I.1 Requirement (only relevant for companies that registered for the VLOG-Additional Module)										

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
I.1.1	0	Fp,T,S ,R		Requirements "ohne Gentechnik"						
II. Add-on module QS-Soyplus										
II. 2 Anforderungen QS-Sojaplus										
II. 2.1 Allgemeine Anforderungen										
II. 2.1.1	1	Fp,,,T		Responsibilities and human resources						
II. 2.1.2	1	Fp,,,T		Trainings						
II. 2.1.3	1	Fp,,,T	D=K.O.	Documentation						
II. 2.1.4	1	Fp,,,T		Commissioning of third parties						
II. 2.1.5	1	Fp,,,T		Supplier evaluation						
II. 2.1.6	1	Fp,,,T	D=K.O.	Traceability						
II. 2.1.7	1	Fp,,,T		Incident management						
II. 2.2 Evaluations and improvement										
II. 2.2.1	1	Fp,,,T		Complaint management						
II. 2.2.2	1	Fp,,,T		Internal audits						
II. 2.2.3	1	Fp,,,T	D=K.O.	Management review						
II. 2.3 Certification status of suppliers and products										

Require ment no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
II. 2.3.1	1	Fp,,,T		Certification status of suppliers and products						
II. 2.3.2	1	Fp,,,T	D=K.O.	QS-compliance of the products						
II. 2.4 Sourcing Soy for IP, SEG and MB										
II. 2.4.1	1	Fp,,,T		Purchase of unprocessed soybeans						
II. 2.4.2	1	Fp,,,T		Procurement of processed products from soybeans (feed material)						
II. 2.4.3	1	Fp,,,T		Purchase of compound feed						
II. 2.5 Sourcing of Soy for B&C										
II. 2.5.1	1	Fp,,,T	D=K.O.	Purchase of credits						
II. 2.6 Handling of soy										
II. 2.6.1	1	Fp,,,T		Verification of shipping papers for incoming products						
II. 2.6.2	1	Fp,,,T		Labelling of outgoing products						
II. 2.6.3	1	Fp,,,T	D=K.O.	Material accounting system						
II. 2.6.4	1	Fp,,,T		Conversion factors						
II. 2.7 Centrally organized purchasing for companies with several sites										
II. 2.7.1	1	Fp,,,T		Requirements for centrally organized purchasing						
II. 2.7.2	1	Fp,,,T		Description of responsibilities						

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
II. 2.7.3	1	Fp,,,T		Information flow in case of changes regarding the QS-Soyplus eligibility of						
II. 2.8 Identity Preserved (IP)										
II. 2.8.1	1	Fp,,,T	D=K.O.	Sourcing of soy for IP						
II. 2.8.2	1	Fp,,,T		Separation of products/Identity preservation						
II. 2.8.3	1	Fp,,,T		Storage management						
II. 2.8.4	1	Fp,,,T		Labelling of IP-QS-Soyplus						
II. 2.9 Segregation (SEG)										
II. 2.9.1	1	Fp,,,T	D=K.O.	Sourcing of soy for SEG						
II. 2.9.2	1	Fp,,,T		Separation of products/Segregation						
II. 2.9.3	1	Fp,,,T		Storage management						
II. 2.9.4	1	Fp,,,T		Labelling of segregated QS-Soyplus						
II. 2.10 Mass Balance (MB)										
II. 2.10.1	1	Fp,,,T	D=K.O.	Sourcing of soy for MB						
II. 2.10.2	1	Fp,,,T		Material Accounting						
II. 2.10.3	1	Fp,,,T		Labelling of mass-balanced QS-Soyplus						
II. 2.11 Book & Claim (B&C)										

Requirement no.	Factor	Filter ¹		Criterion/ requirement	A	B	C	D/ K.O.	E	Comments/corrective action number
II. 2.11.1	1	Fp,,,T	D=K.O.	Purchase of Book&Claim Soy						
II. 2.11.2	1	Fp,,,T		Material Accounting						
II. 2.11.3	1	Fp,,,T		Labelling of Book&Claim QS-Soyplus						

Company _____ Date _____

Calculation of audit result

1. Balance of subtotals

Calculation	A	B	C	D	E
(1) Number of evaluations					
Sum of evaluations (excluding E evaluations)					

2. Calculation of the proportion of C and D evaluations*

Proportion of C evaluations		(Number of C evaluations / sum of evaluations) * 100
Proportion of D evaluations		(Number of D evaluations / sum of evaluations) * 100
Proportion of C and D evaluations		Proportion of C + proportion of D

3. Preliminary audit result

		Percentage of C evaluations	Percentage of D evaluations	Percentage of C+D evaluations	Audit result		
<p>*Status I: If the 5 % target is exceeded, status I will still be assigned if there is only one C-evaluation. **Status II: If the percentage with regard to the proportion of D evaluations is exceeded, status II is assigned if only one D evaluation exists and no C evaluation</p>		max. 5,0%	0,0%		QS-Status I*		
		max. 10,0%	max. 3,0%	max. 10%	QS-Status II**		
		max. 20%	max. 10%	max. 20%	QS-Status III		
<table border="1"> <tr> <td>Number of K.O.</td> <td></td> </tr> </table>		Number of K.O.		Audit not passed.			
Number of K.O.							
Percentages exceeded							
K.O.							
General K.O./ repeated D evaluation		Audit not passed.					

Company:

Date:

Corrective actions report

I hereby confirm that the following corrective actions were agreed upon between me and the auditor.

The certification body is to be informed no later than the expiry of the deadline set out in the action plan about the implementation of a corrective action.

Note: The correction deadline is a maximum of 28 days for all FIAS requirements and the documentation requirements: 2.1.1, 2.1.2, 3.4.1 und 3.9.5 (only valid for production!)

Place, date

Signature/s of auditor/s

Signature of person responsible

Serial no.	Requirement No.	Evaluation (C, D/K.O.)	Description of nonconformity	Agreed corrective actions	Scope (T,RWO,Fp,S,R)	Deadline for correction
1						

Company: _____

Date: _____

Review of the implementation of corrective actions

Place, date

Signature/s of auditor/s

Serial no.	Implemented	Not implemented	Comments (if any)	Date
1				